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## CONNEXOR® Terms for Data Vendors

CONNEXOR® Terms delivers a comprehensive set of reference data with a wide range of high-quality information across the entire life cycle of financial instruments.

The receiver of the data benefits from an increased efficiency and quality thanks to digitized and standardized high-quality reference data that is validated and captured by the issuers. Data vendors can thus provide their clients with reliable and detailed reference data directly from the source. Users of reference data such as financial institutions receive a comprehensive source of data, which at the same time facilitates the efficient management of data within their back-office applications and risk management systems.

CONNEXOR® Terms ensures that reference data is automatically and immediately distributed to all relevant market participants and distributors in a unified form and via standardized interfaces. The receivers and users of the data can easily be connected to the infrastructure based on the universal format XML or use their existing SIX Exfeed Swiss Market Feed (SMF) connection for the reception of a defined subset of reference data (see scope of delivery for data attributes).

For investors, this data is the optimal basis for establishing and implementing investment strategies. The standardized preparation of data increases the transparency, improves the comparability of financial products and provides an optimal basis for financial institutions and investors to make investment decisions.

Version 2.0 – 01/01/2011

## CONNEXOR® Price List appurtenant to Addendum 4 - SIX Exfeed Fees

For the reception and distribution of reference data, described on the [CONNEXOR Website](#) and in section D, Reference Data Services (RDS) of the SIX Exfeed price list, **effective from 1.1.2011.**

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## A Base Fees

### Technical Connection Fee:

CHF per annum

Per XML live connection – CONNEXOR® Terms 50,000.00\*/100,000.00\*\*

## B End User Fees for CONNEXOR® Terms

### Scoach Switzerland\*\*\*

CHF per annum/month

#### Scoach Switzerland Market Data delayed

Free of charge\*\*\*\*

Per end user – SMF attributes (subset of CONNEXOR® Terms data)

36.00/3.00

Per end user – CONNEXOR® Terms attributes (full data set)

60.00/5.00\*\*\*\*\*

Please see separate document for scope of delivery of SMF and CONNEXOR XML attributes.

\* Surcharge for SMF recipients (licensees) with direct access only

\*\* Access to xml files for internal usage only, e.g. for statistical evaluation, data mining etc.

\*\*\* Full universe of traded structured products & warrants according to the [Scoach Website](#)

\*\*\*\* Only for the following 5 attributes: Ticker symbol, the first/last trading day, the title short text and the trading currency

\*\*\*\*\* For real-time Scoach Users, a surcharge of CHF 2.00 per user/month will be invoiced

## C Enterprise License\* for CONNEXOR® Terms

License Fee based on the full universe of delayed end users reported by the vendor. The number of file pick-ups is unlimited; therefore the files can be picked-up multiple times without any additional charge.

Tier	Number of users	CHF per annum
Tier 1	< 500 users	60,000.00
Tier 2	501 – 1,000 users	100,000.00
Tier 3	1,001 – 5,000 users	350,000.00
Tier 4	5,001 – 10,000 users	500,000.00
Tier 5	More than 10,000 users	700,000.00

## D Sales Commission\*\* for the redistribution of CONNEXOR® Terms

### RDS – Reference Data Service:

	CHF per annum/share in %
1 to 5 customers	25,000.00 (25%)
6 to 15 customers	28,000.00 (28%)
16 to 25 customers	30,000.00 (30%)
26 to 50 customers	35,000.00 (35%)
More than 50 customers	40,000.00 (40%)

\* Full access to xml files for xml redistribution to third parties (subvendors). No key figures available.

\*\* Non-recurring sales commission (one-off) for the redistribution of CONNEXOR® Terms (XML files), cumulative in CHF per annum (see section A)

## NOTES – General Rules

Irrespective of the technical platform, source of reference data or interface, e.g. CONNEXOR® Terms, SIX Exfeed's SMF or SIX Swiss Exchange's RDI, the following general rules apply:

- Services offering delayed data (15 minutes) are not subject to any Exchange fees, but they are subject to distribution fees and potentially to reference data fees per end user for the full- or a subset of static data items except for the ticker symbol, the first/last trading, the title short text and the trading currency for Scoach Switzerland traded instruments.
- A full month's fee is due for each calendar month in which the fee applies.
- The licensee may deliver reference data to subscribers/users free of charge during a trial period of thirty (30) days.
- All access to reference data must be controlled by one of the following units of count:
  - Terminal, device or application receiving data, or
  - Individual user authorised to access data (by means of a unique User ID and password), or
  - Individual security (defined as any data on a single instrument included in the data at the time of request)
- End-of-day closing prices are free of charge and do not require a Data Distribution Agreement. End-of-day reference data updates that allow market participants to synchronise their internal databases with the daily traded instruments of the Exchange in readiness of the following morning are fee liable.
- Professional market-data distributors or market participants may not download, receive, re-distribute, re-sell or sub-license the reference data without SIX Exfeed's prior written consent. This includes, but is not limited to, reference-data deliveries via the Reference Data Interface (RDI) of the SIX Swiss Exchange Trading System, CONNEXOR® XML exports, file transfers via FTP, SFTP, CSV or via the Swiss Market Feed (SMF). Except where explicitly stated otherwise, all data provided to distributors by SIX Exfeed is subject to specific terms and conditions of the Data Distribution Agreement (DDA).
- The stated fee amounts do not include sales tax. Services provided in Switzerland and Liechtenstein are subject to VAT.